PICav: Precise, Iterative and Complement-based Cloud Storage Availability Calculation Scheme

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Abstract—Cloud storage controllers combine multiple heterogeneous storage services into a unified virtual storage area for users. The overall storage quality depends on all the individual service qualities as well as on the controller’s ability to mitigate quality issues, for instance with caches and proper scheduling to improve the availability of data. Given a set of storage services with an estimated capacity and average availability for each, the problem arises how to distribute the data and which amount of redundancy needs to be generated in order to maintain a certain overall availability. There are few previous algorithm proposals for this computationally hard problem which produce high transmission overhead and imprecise results. We present a novel algorithm which minimises the overhead and achieves exact values in short time. Furthermore, our algorithm takes storage service interdependencies into account. We validate our claims with synthetic failure distributions and real traces from three cloud services.

I. PROBLEM STATEMENT

Pervasive data access across devices and services without worries about insecurity or unavailability is one of the promises of cloud storage proponents. The solutions which come closest to this vision are typically combining multiple storage services, partitioning and splitting data to be stored among them with a certain added redundancy [1], [2]. The amount of redundancy influences the overall availability of data in case of service failures. If data is split into two halves with 50% added redundancy, and stored across three services (on independent storage nodes) with 98% estimated availability for each, this scheme results in an overall availability equal to 99.88% compared to only 96% without the redundant node.

In practice, both the availabilities ($a$) and the capacities ($c$) of online storage services differ significantly and cannot be assumed to be equal. Table I lists a few popular free and paid services with their characteristics collected from different sources. An unavailability of 0.1% translates into 43:48 minutes per month on average. Furthermore, retrieval and restore times differ as well and additionally depend on the user’s network connection. Registries, brokers and marketplaces are used by storage controllers to dynamically select suitable services based on their properties although they suffer from incomplete and out of date information [3]. The optimal selection for a user, based on their capacity requirements and a presumed always-available overall service quality, can nevertheless be matched closely with a selection from the marketplaces. This results in heterogeneous sets of $n$ out of $h$ available storage services to which $k$ significant and $m$ redundant data fragments are assigned.

<table>
<thead>
<tr>
<th>Service</th>
<th>Capacity ($c$)</th>
<th>Availability ($a$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Google Drive</td>
<td>15 GB</td>
<td>99.9% (SLA)</td>
</tr>
<tr>
<td>Amazon S3</td>
<td>on-demand</td>
<td>99.9% (SLA), 98.86% in July 2008</td>
</tr>
<tr>
<td>AT&amp;T</td>
<td>on-demand</td>
<td>99.5% (Nasuni study 2011)</td>
</tr>
<tr>
<td>Linode</td>
<td>1-96 GB</td>
<td>99.951% (CloudHarmondy study 2011)</td>
</tr>
<tr>
<td>iCloud</td>
<td>5 GB</td>
<td>99.65% (Cérin et al. 2012 [4])</td>
</tr>
</tbody>
</table>

With such diverse settings, determining the right amount of redundancy becomes challenging. Given the previous example, it would be possible to increase the amount of redundancy to 60% with erasure coding. Unless the number of independent storage services also increases, there would however be no gain in availability. The problem is explained in its general form in Fig. 1.
overhead of having to search a large multidimensional space. Three problems arise when applying this strategy in a cloud storage environment. First, encoded data is split into numerous files, which results in a multiplication of network overhead per storage service. Second, when working with small files, there may be a storage overhead. For instance if we use a word size of \( w = 8 \) and a packet size \( \text{packetsize} = 512\text{bytes} \), each element is at least \( \text{elementsize}_{\text{min}} = w \cdot \text{packetsize} = 4096\text{bytes} \) large. Assuming we work on a file of \( \text{filesize} = 100\text{bytes} \), the overhead is \( \text{overhead} = n \cdot \text{elementsize}_{\text{min}} - 100\text{bytes} = 409.5\text{KB} \). To avoid the first problem, instead of producing many small files, the resulting elements per service are assembled into a single file for the transmission process which can be split back into separate elements before decoding. Further, to reduce overhead, the \( \text{packetsize} \) and \( \text{wordsize} \) parameters are minimised. This leads to a decrease in throughput as shown in [6]. The third remaining problem is a lack of precision for the global availability results. To counter the performance loss, further decrease overhead by reducing the number of erasure code elements, and gain precision as well, we propose a novel element distribution algorithm called PICav. We show that PICav can be used to quickly generate fragment distributions with similar availability compared to the proportional distribution of a high number of elements, while at the same time showing improved throughput and higher precision.

II. ALGORITHM DESCRIPTION

We pursue the construction of the PICav algorithm as follows: First, we outline our assumptions and the model which is constrained by them. Then, we present the calculation scheme. For completeness, we look at service dependency and recovery aspects before finally evaluating the scheme.

A. General Considerations

The cloud storage model consists of \( n \) mostly independent storage services, each with an estimated value for data availability \( a \). It assumes that availability of data can only be impaired by a failure that causes a service to be offline or its data to be lost or corrupted. Thus, availability is the ratio of time when the service’s data is accessible to the time it is inaccessible. Accessibility here means that the original data can be retrieved. In general, the term availability may be defined more broadly and include further end-to-end resource uncertainty factors such as corrupt network routes or slow responses. The interpretation of these factors is implementation-dependent (e.g. timeouts in the transport connectors, multipath connections, caching) and hence omitted from our model although we will discuss their influence in the discussion section. The availability is often an approximate value that may be determined empirically by reading and verifying the data in a periodic monitoring process, or by comparing the service to similar ones with a known availability. The resulting value is used as input to predict the actual availability in the future. The binary states \{available, unavailable\} follow a stochastic distribution. According to previous analyses, incidents are mostly temporal and the availability is generally high [7]. A Weibull distribution, a more specific exponential distribution, as well as normal and beta distributions can be found in the literature to describe the nature of service availabilities over time. We will first follow the previous work in assuming a beta distribution [5] but then argue for a normal distribution as well, which yields better results. An additional assumption is that the user is interested in the minimum availability instead of the mean one, and that the erasure-coded data is distributed with a parallel scheduling as opposed to, for instance, round-robin distribution. This restriction, too, will be elaborated on in the discussion section.

We informally derive the availability calculation in Eq. 1. A more formal definition is given in other works, e.g. [5].

First, \( C \) is the combination of the \( n \) services \( s \) which is used to store data. Second, the powerset \( \mathcal{P}(C) \) of this combination is calculated. Third, the powerset is filtered so that it merely contains all combinations of services with enough chunks to restore the original data. This filtered set is referred to as \( \mathcal{P}_f(C) \) and represents every possible combination of services that suffices to restore the original data. Therefore, the minimal availability of data is the probability of at least one subset of services \( S_j \in \mathcal{P}_f(C) \) to be available. Further, the availability \( a \) is the sum of the set probabilities \( a_j \) for each combination \( S_j \) to be available exclusively. This means that the services \( s_{j,k} \in S_j \) are available, while the other services in \( C \) are unavailable. Consequently, \( a_j \) is the multiplication of the availability of each service \( a_{j,k} \) multiplied by the probabilities \( u_{j,l} \) for the other services in \( T_j \subset C \setminus S_j \) to be unavailable. The unavailability \( u_{j,l} \) is the multiplication of the complement of the availability of each service in \( T_j \). If \( T_j \) is empty, \( a_j \) is simply the multiplication of the availability of each service \( a_{j,k} \), since every service in the term \( T_j \) is unavailable with absolute certainty. Like in the formula before, it is assumed that each of the sets and subsets of services previously discussed is substituted by a multiset with the availabilities of the services in the respective set or subset. For the case that \( C \setminus S \) in the formula, which corresponds to \( T_j \), is empty, the product over the thereby empty set is defined as one.

\[
\text{availability} = \sum_{S \in \mathcal{P}_f(C)} \left( \prod_{a \in S} a \cdot \prod_{u \in \{1-u_{b\in \mathcal{C}(C\setminus S)}\}} u \right)
\]  

(1)

B. Calculation Scheme

The proposed availability calculation scheme is characterised by yielding precise values on the visible interface level and by being iterative and working on availability complement values in its implementation. Hence, we call it a precise, iterative and complement-based scheme (PICav).

The use of complements leads to a higher performance. It marks an improvement in the algorithm used to calculate availability for a set of heterogeneous storage nodes, decreasing worst case and average complexity by half. This is sufficient to calculate availabilities for configurations with less than 30 nodes, which enables the user interfaces of storage controllers
to respond fluently to changes in the configuration. We assume fixed parameters $n$ and $k$ for the erasure code. Colloquially, as presented before, availability is calculated as the sum of probabilities of all storage node combinations which suffice to reconstruct the original data, whereas a combination’s probability is the probability that each of its stores is online while the others are off-line. The complexity of $O(2^n)$ with $n$ being the number of nodes is due to the growth in possible combinations that need to be considered. The worst case occurs when $m$ is largest, i.e., many redundant nodes can be excluded. To decrease the number of nodes that need to be considered when determining overall availability, we propose to calculate the complement of the availability when $k$ is smaller than $\frac{n}{2}$, and then simply inferring the availability from this result. To calculate unavailability, we simply substitute the set of combinations in the previous calculation with the set of combinations that store less than $k$ blocks. More precisely, we add up all probabilities of all storage node combinations that are not sufficient for data reconstruction. With this, we decrease the number of combinations that need to be considered, and thus reduce the algorithm worst case runtime by half. For 30 storage nodes or more, we implement the calculation for storage services with homogeneous availability, which is not as accurate, but more scalable [5].

The subsequent iterative distribution algorithm increases the number of elements according to the variance in availability. First, it starts at distributing one element to each service, which is optimal in the homogeneous case. Services are divided into a growing number of separate classes according to their availability. To this end, we define an interval of availabilities for each class. The first single interval is exactly between the lowest and highest availability of all services. If possible, this single interval is divided in two, three, four and more evenly sized intervals, each corresponding to a separate class of nodes with the according availability. The algorithm adds one element to the nodes in the first non-empty class, two to the second, three to the third, and so on. The separation into more classes stops if we have reached a fixed number of elements, which we set to 30 in our approach. It starts with choosing the first variation, and only takes the next variation if it increases availability, and if it monotonically converges against the desired redundancy.

Fig. 2 presents a graphical explanation of how the algorithm works with an example of five storage services which have a wide range of availabilities for didactic purposes. It ultimately results in splitting the data into 9 fragments which are distributed unevenly over the five services.

C. Service Dependencies

We extend the model to calculate data availability in order to consider single uni-directional dependencies between storage services. For instance, Dropbox and Ubuntu One depend on Amazon’s S3 storage. We assume that if Amazon S3 is unavailable, Dropbox is unavailable as well. The error introduced by ignoring such dependencies can be considerable. For instance, if we replicate data to Amazon S3 and Dropbox with availabilities of 99.9% and 99% respectively, the overall availability is actually 99.9%, instead of 99.99%. Further, we use knowledge of interdependencies to reduce redundancy. For example, if we have 10 services with a homogeneous availability of 90%, we need to store 2.5 times the amount of the original data to achieve an availability greater than 99.999%. If one of the services depends on another one, the redundancy factor to achieve this availability is about 3.33. Thus, by using only services without interdependencies, we can reduce the storage cost by about 25%.

D. Retrieval and Recovery

After optimising storage cost with respect to a desired availability, other optimisation goals like cost reduction and storage capacity utilisation shall be pursued. The retrieval extension to PICav reduces cost for data transfer by preferring nodes that produce no or little additional cost for data downloads. Many cloud storage providers like Dropbox, Sugarsync, or TelekomCloud do not bill the user for downloading data, whereas Amazon S3 bills about 12 ¢ per downloaded GB. Thus, only downloading from the former services does not involve additional costs. Further, when a storage has reached its capacity, the scheme automatically tries to find a suitable substitute from a preconfigured set of services, in order to maintain the desired availability. Otherwise, not all storage space could be used, considering services with different capacity limits, since one of the services would reach its limit before the others, or due to storing more data per file according to the erasure code distribution policy. Similar mechanisms can be applied to increase performance by substituting or excluding components exhibiting low throughput.

When a data storage service in our model fails for a long time, we can consider it to have failed permanently. For this case we propose a strategy to reconstruct the lost data to uphold the availability goal, and to protect against possible data loss. Nonetheless, we first need to restore the goal availability value for new data. Three practical examples,

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1Amazon S3 costs: http://aws.amazon.com/s3/pricing
based on experience with SugarSync and Dropbox, serve to illustrate how such data loss might be possible with current cloud storage providers. First, SugarSync deletes free accounts that were not used frequently with an email warning seven days prior to the deletion: You haven’t used your SugarSync account for more than three months. SugarSync regularly deletes accounts which have been inactive for more than three months. If a user does not check notification e-mails often enough, or if the email is accidentally deleted by a spam filter, account data will be lost. Second, SugarSync recently abandoned continuous free of charge service, pressuring users to move to a paid account to maintain full access to SugarSync. Third, Dropbox states similar terms of service, stating that they might delete accounts after 12 month of account inactivity\(^2\). To prevent data loss, we first increase redundancy. However, using this approach, we can only increase availability until we have a replica of the original data on each service, which might not suffice to restore the goal value for data availability. Another drawback of this approach is that data migration is generally more expensive when the redundancy comes closer to its maximum. Further, when accessing large files, we cannot combine the bandwidth of several providers to increase throughput, since in the worst case, one replica needs to pass through the connection of one provider alone. Therefore, we consider adding one or several new services to the storage array, to keep the number of storage nodes constant over time. The second approach alone may not be able to restore the desired data availability for new data if the additional storage service’s availability is lower than that of its predecessor. Thus, we combine it with the first approach by gradually increasing redundancy after adding another service to the storage array. If another storage service becomes available, we may repeat this process until the goal availability value is met. Apart from better performance, and less migration costs, we assume that in practical scenarios it is often possible to meet the goal availability by dispersing data to more nodes. This hypothesis is supported by the results of [5] which compares redundancy savings in multiple scenarios with 10 and 100 nodes, and by our own experience. Just in case though, we evaluate the results of integrating a new storage to exclude solutions that add more redundancy than needed in the scenario before the node failure, if it is feasible. After having restored an environment that meets the goal data availability, we need to restore the lost data. We could use regenerating erasure codes for more efficient reconstruction compared to using the regular Cauchy-Reed-Solomon (CRS) code. However, most regenerating codes do not reduce the number of elements that need to be accessed, but rather reduce I/O operations. As the transport layer transfers elements atomically such a scheme is inapplicable. Bandwidth saving regenerating codes might require the storage provider to process data before sending them, which makes them inapplicable for general cloud storage. NCCloud’s functional minimum-storage regenerating code implementation (F-MSR) comes closest in terms of desired properties [8]. Next to being maximum-distance separable (MDS), it can reconstruct data by accessing one of two fixed size regions in an element. Thus, we could separate every element in two, to reduce repair bandwidth by about 25% to 50%. Despite these advantages, there are numerous reasons not to use F-MSR. First, it only offers to tolerate two element failures, even though first approaches exist to tolerate more erasures, such as Xorbas [9]. Due to the improvements coming with PICav, F-MSR cannot effectively be used to reduce repair traffic. In particular, since we operate on up to \(n = 100\) elements, the F-MSR implementation could not provide enough redundancy, as it only considers two element failures. Further, dividing the 100 elements in two would lead to an enormous network overhead. Even when we forfeit our approach, we would still have twice as many files to store, which even without F-MSR proved to be a performance bottleneck. Therefore, we propose complementary improvements in the transport layer to assemble collections of several elements (small files) into archives which are represented as one large file per service before transferring them to the services. Consequently, the elements that were split in two for the sake of reducing repair bandwidth would not be transferred individually anyway. To still decrease repair cost, we propose the following scheme. We increase the number of elements, as well as the number of coding elements by one. Then we store one of the data elements on the local hard disk. When a node fails permanently, we can use the local data elements to replace the data on the failed storage. This approach has the drawback that it can only be used once during the overall lifetime of the data. Nonetheless, it improves repair bandwidth dramatically considering that the minimal amount of data needs to be transferred for reconstruction. Furthermore, it only needs to be uploaded to the new service, so that the bandwidth and performance of the unaffected services are not further impaired by reconstruction, which should allow for normal operation during repair. Once the repair is finished, we could start to restore the previous situation, which would involve reading and replacing the whole data set file by file, which might in turn be quite expensive considering high transfer costs. Nevertheless, this reconstruction can take place while the system is under low load, and might be spread over a long period of time in order to maintain system performance. Also, considering permanent loss unlikely, the potential cost for this reconstruction process might still be sensible.

### III. Experimental Evaluation

The evaluation consists of two parts. First, we implemented PICav as a standalone script to verify the numerical results, and integrated the implementation into a storage controller. Then, we performed a number of experiments based on this implementation to check the availability gain and the corresponding reduction in elements.

\(^2\) Dropbox ToS: https://www.dropbox.com/terms
A. Implementation Description

We have implemented PICav into NubiSave, an open source cloud storage controller [2]. Its configuration GUI now allows users to define a goal availability and to derive the splitting and redundancy configuration in real-time. Furthermore, the suggested transport layer improvements were integrated into CloudFusion, a connector to various popular cloud storage services. A screenshot of the configuration dialogue is shown in Fig. 3.

![Fig. 3. The NubiSave configuration GUI with minimum redundancy derived from the availability goal](image)

The standalone script `availability.py` has been implemented in Python. It is invoked with two parameters: The number of significant fragments $k$, and a list of tuples/triples which represent the storage services. Each tuple contains the estimated availability and the number of erasure code elements. Service dependencies result in a lifting to a triple by adding the tuple position of the dependendee service, starting at 1. Thus, a sample invocation for three services would be `python availability.py 2 "[(0.5,3), (0.5,1,0), (0.6,1,1)]"` resulting in $a = 0.5$. The script is publicly available from the NubiSave Git repository [2].

B. Experiments Results

Our experiments work has been initiated with an availability gain analysis. In Fig. 4, which exemplifies storage services with 50% availability, it becomes apparent that already with two redundant elements, the difference in overall availability is 18 days, or 7.99%, when using only four nodes instead of eight.

![Fig. 4. Potential gains when calculating the overall availability](image)

To use more comprehensible units than availability percentage, the charts show the availability gain of using the novel distribution strategy in seconds per year, assuming a year has 31556952 seconds. The y-axis is used to map the different variances into a three dimensional graph, to view the effect of changed variances immediately, except for Fig. 8, which uses the y-axis to display the corresponding pair of mean and variance values. The mapping of several similar configurations leads to a more compact representation. To visualise differences despite the distortion caused by the 3D view, a colour coded plane is drawn, which passes through ever point of the individual curves for each mean value, and variance pair. The actual functions are discontinuous, as they do not have a corresponding availability for every redundancy factor. Nonetheless, the charts show them as continuous functions by using the achieved availability for a redundancy closest, but less than or equal to the current redundancy factor.

Fig. 5, Fig. 6, and the simulation of Skype traces in Fig. 8 show that our algorithm performs much worse for availabilities lower than 0.5 between a redundancy factor of 7 and 10. The results for stores with higher availabilities, which is the case for the mean availability of 0.75 displayed in Fig. 7, the results generated for the mean availability and variance

\[^3\text{https://docs.python.org/2/library/random.html}\]
from traces of PlanetLab, and Microsoft, are nearly equal to
the proportional distribution strategy, differing only by a few
minutes of availability per year, which is less than 0.01% of
availability. In case of the simulation for PlanetLab, there is an
increase of availability by about one minute. In every case, our
novel algorithm succeeds in decreasing the average number of
erasure code elements by at least 14, which is nearly half the
number of elements. Interestingly, especially when using low
redundancy, the achieved availability of both strategies can be
several days worse or better, whereas between a redundancy
factor of seven and ten, the average results do not fluctuate
much, as the maximum possible availability for each strategy
has already been achieved.

The proportional distribution policy was applied with only
30 erasure code elements, in order to better compare the
results, and because previous work by the same authors
suggests that 30 elements is a good choice in their testing
environment [11]. Nonetheless, we also re-ran all experiments
with 100 erasure code elements. As the results do not differ
significantly from the current results except for the obvious
increase of required elements for the proportional strategy, we
omit a detailed presentation.

Finding a good configuration for erasure code parameters,
and erasure code element distribution for satisfying a cer-
tain availability is hard for a user, especially if she is not
acquainted to erasure codes and the term availability. For
higher transparency, NubiSave represents these statistics in
more established units like unavailability in time per year or a
redundancy factor, which describes how much redundancy is
used compared to using a single replica. A user can easily play
around with a redundancy slider, which covers a meaningful
range of different redundancy values for each strategy. More-
over, to give the user a good starting point, NubiSave needs
to provide an automatic search, that chooses the best storage
strategy with the lowest redundancy to achieve a desired
availability, so that a user can simply state the upper bound
for unavailability in percent or in time per year i.e. 0.01% or
5 minutes. This can easily be done, by letting the GUI iterate
over the possible configurations for each storage strategy, and
finding the best fit. To gain more comparable results, we eval-
uated our redundancy scheme by using the beta distribution to
generate random node availabilities, as the same distribution
is used by the authors proposing the proportional redundancy
scheme. It seems that the beta distribution generates rather
heterogeneous availabilities despite of a low variance. It would

**IV. DISCUSSION**
be interesting to see a comparison to our algorithm when using a normal distribution instead, as the original design goal besides a reduction in required erasure code elements was to perform well with near homogeneous node availabilities. The beta-distribution is used to simulate exceptions which diverge greatly from the average values. Since these exceptions are hard to predict, a normal distribution would be a suitable alternative. Fig. 9 shows the element reduction potential when using a normal distribution, compared to Fig. 7 which assumes a beta distribution of availability incidents.

Viewed critically, availability guarantees in cloud provider SLAs are insignificant in certain use cases, like a backup scenario, where data is rarely accessed. A short failure period of ten minutes every day would in the worst case delay a multi-hour backup process by about ten minutes. Similarly, when restoring the complete backup for data recovery, a multi-hour download process would only be delayed for a short time. In this case, statistics like mean and maximum periods of unavailability are meaningful. Further, SLA guarantees are only an indication of the actual availability. First, an SLA can define availability differently to our definition. Second, the guarantee only states what happens in the case of a certain guarantee violation. Data durability would be an interesting statistical metric, but giving good estimates seems hard when we need to consider factors like economic lifetime, catastrophes and attacks on the provider’s infrastructure over a decade or more. In this case, a diversification strategy seems optimal to guard against data loss of a single provider by storing enough data to other providers to be able to recover from data loss. The multi-purpose optimisation shows that NubiSave can offer a good solution for a variety of user profiles, including those for free storage, high durability, high performance, and free
read access. Additionally, when combined with an encryption module, these profiles can also offer security.

NubiSave retrieves data from the providers through a transport layer. Implementations of this layer improve the availability of data by using caches and sophisticated error handling, but they might also impair availability by bugs in the program code. The model disregards these influences which might be incorporated into NubiSave once ample statistics about the component reliability are collected. The values for availability are estimations and should be refined by empirical results for accuracy. The data availability presented by NubiSave is the minimal availability of a single data chunk according to the current configuration. Instead, a mean value for availability may be used, but the model merely considers the parallel use of storage services, by which any set of a number of elements can equally be used in reconstructing the original data, and the distribution of the elements does not alter over time. In this case, the minimal availability equals the average availability. Other erasure codes depend on certain combinations of elements when decoding data. Also, the round-robin strategy, which alternates between a set of storage targets of the same size for load distribution, is another strategy implemented in NubiSave. Its mean availability that can differ greatly from its minimal availability. For simplicity, the model does not consider the latter two cases. Another simplification is that data availability refers to a single small chunk of data, even though a large file consists of several chunks. Logically, due to different transfer times, it is more probable to successfully retrieve a small chunk than a sequence of chunks, since storage nodes are more likely to fail over an increased period of transfer time. Problematically, there are few comprehensive studies on the availability of cloud storage services. For the same reason, durability, which means long term availability of data, has found little consideration as a quality parameter in the thesis. Long term studies would need to factor in large scale disaster like storms or floods, war, economic crisis or more general economic change, especially in the cloud provider domain, and finally technical change, which can lead to incompatibility for devices and data formats.

V. CONCLUSION

PICav is a novel scheme to precisely and quickly calculate the overall availability and data distribution in heterogeneous cloud storage environments with minimal fragment size and transmission overhead. We have shown that, on average, PICav compares well against related work. By extending NubiSave, we have successfully realised PICav in an existing cloud storage controller and verified its usefulness from the practical perspective. Future research will concentrate on fragment distribution decisions under additional constraints such as privacy and computability.

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